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# UNITED STATES PATENT APPLICATION TRANSMITTAL FORM

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Transmitted herewith for filing is the patent application of

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For: **PATTERNING SOLUTION DEPOSITED THIN FILMS WITH SELF-ASSEMBLED MONOLAYERS**

Enclosed are:

**XXX** Specification (31 pps); Claims (7 pps); Abstract (1pp);

**XXX** 4 sheets of drawings;

**XXX** Declaration and Power of Attorney;

**XXX** An assignment of the invention to: International Business Machines Corporation including \$40.00 recordation fee and Assignment Recordation Form Cover Sheet;

**XXX** Information Disclosure Statement (with copies of patent);

**XXX** Form - PTO-1449;

       Verified Statement Claiming Small Entity Status; and

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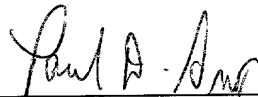
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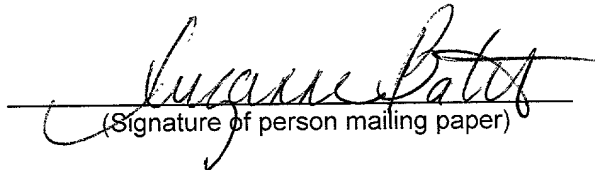


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PATTERNING SOLUTION DEPOSITED THIN FILMS  
WITH SELF-ASSEMBLED MONOLAYERS

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BACKGROUND OF THE INVENTION

1. FIELD OF THE INVENTION

10           The present invention relates generally to the  
patterning of a thin film on a surface. More  
particularly, the present invention relates to a method  
of depositing by a solution-based technique a patterned  
thin film onto a surface that has been selectively  
15   patterened with a self-assembled molecular monolayer.

2. DESCRIPTION OF THE PRIOR ART

          Solution-based thin film deposition processes,  
20   such as spin-coating and immersion-coating, i.e., dip-  
coating, provide simple, low-cost, low-temperature  
routes to thin film deposition on large-area solid  
substrates.

25           Spin-coating and dip-coating are long-tested  
techniques commonly used to deposit thin films of a  
wide variety of materials for a broad range of  
applications. These materials include, as examples,  
organic materials, such as small molecules, oligomers,  
30   polymers, and photoresists; organic-inorganic hybrid  
materials; soluble inorganic materials, such as salts;

suspensions; dispersions, such as silica particles or nanocrystalline materials; and metallo-organic complexes. The metallo-organic complexes may be converted to inorganic materials upon decomposition  
5 during high temperature annealing, a process known as "metal organic deposition."

The above thin film materials are metallic, semiconducting, insulating and superconducting. They  
10 are used in many optical, electrical, magnetic, and structural applications.

U.S. Patent No. 4,916,115 and 4,952,556, both to Mantese et al., describe patterning techniques. Thus,  
15 U.S. Patent No. 4,916,115 describes techniques used to pattern spin-coated metallo-organic thin films by locally pyrolyzing deposited molecules. U.S. Patent No. 4,952,556 describes techniques used to pattern spin-coated metallo-organic thin films by locally  
20 decomposing spin-coated metallo-organic thin films to form patterned insoluble inorganic materials upon dissolving remaining metallo-organics in an organic solvent.

25 U.S. Patent No. 5,512,131 to Kumar et al. describes a method of patterning a surface that employs microcontact printing, also known as "stamping," to form patterned molecular monolayers on the surface of a substrate.

U.S. Patent No. 5,620,850 to Bamdad et al.  
describes microcontact printing, which has been used to  
deposit self-assembled monolayers (SAM) that have tail  
groups to sense biological materials.

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N. L. Abbott et al., **Science**, **257**, 1380 (1992)  
describes the use of self-assembled monolayers (SAM)  
that have tail groups to control the placement of  
liquids on surfaces.

10

U.S. Patent No. 6,020,047 to Everhart et al.  
describes the use of self-assembled monolayers (SAM)  
that have tail groups as indicators of analytes.

15

U.S. Patent No. 5,900,160 to Whitesides et al.  
describes the use of patterned monolayers as masks for  
etching thin films.

N. J. Jeon et al., **J. Mater. Res.**, **10**(12),  
20 2996(1995) describes the use of microcontact printing  
to prepare patterned metal-oxide thin films deposited  
from sol-gel precursors.

M. Era et al., **Appl. Phys. Lett.**, **65**, 676 (1994)  
25 describes organic-inorganic hybrid materials that form  
the emissive layers in light-emitting diodes.

K.E. Paul et al., **Appl. Phys. Lett.**, **73**, 2893  
(1998) describes exposure of patterned photoresist  
30 features to UV radiation.

The contents of all of the above patents and publications are incorporated herein by reference in their entirety.

5        For many applications, thin films must be patterned to provide control over the film's spatial geometry. Spin- and dip-coated thin films have been patterned by subtractive processes, such as photolithography, etching, e-beam, ion-beam, or laser  
10    beam techniques and their combinations.

Etching spin- or dip-coated thin films exposes the deposited material to potentially harsh etching solutions or environments and may degrade the desirable  
15    materials properties (e.g., electrical, optical, magnetic, or structural) of the thin film.

Etching also requires multiple processing steps making it both more complex and costly. For example,  
20    using photolithography to define etch pattern requires photoresist to be applied, exposed to radiation, and developed before etching the material. In a final step, the remaining photoresist may be removed.

25        Interaction between the thin film material and the resist, radiation, or solvents/developer used in the lithography process may also degrade the deposited thin film. Accordingly, e-beam, ion-beam, or laser beam techniques are another set of alternative routes to  
30    patterning thin films.

These focused beam techniques are serial "writing" processes that are slow and therefore inherently more costly when large areas of the film must be modified. In addition, E-beam and ion-beam techniques must be  
5 carried out in vacuum chambers.

These techniques have been used, for example, to pattern spin-coated metallo-organic thin films by locally pyrolyzing deposited molecules, as described in  
10 the previously incorporated U.S. Patent No. 4,916,115, and by locally decomposing spin-coated metallo-organic thin films to form patterned insoluble inorganic materials upon dissolving remaining metallo-organics in an organic solvent, as described in the previously  
15 incorporated U.S. Patent No. 4,952,556.

These applications of focused beams to pattern thin films can lead to redeposition of undesirable materials, in the case of pyrolysis, and to possible  
20 material degradation by solvents used to remove the remaining metallo-organics, in the case of local decomposition.

In general, lithographic and focused beam  
25 techniques all require the use of a relatively complicated and costly apparatus to prepare patterned thin films. Resists, solvents, and developer used in these processes are also consumed, thus producing undesirable waste.

Alternative routes have been explored to deposit patterned thin films by low cost solution processes. All of these alternative techniques, notably ink-jet printing, screen-printing, and micromolding described  
5 herein below, have only been tested for a limited number of materials systems, each giving rise to a variety of limitations.

Thus, ink-jet printing is a sequential deposition  
10 technique, making it slow and therefore, more costly. It also has limited resolution caused by the spreading of the printed solution over the solid substrate surface. Furthermore, it can be limited by the viscosity and flow properties of the printed solution.

15 In the screen-printing method, thin films are deposited by spreading a solution of material over a screen in contact with the solid substrate surface. As in ink-jet printing, screen-printing is typically  
20 limited to low resolution applications by the inability to define high resolution features in the screen mask and by restrictions on the viscosity and flow properties of the printed solution.

25 Micromolding in capillaries is a technique in which an interconnected system of recessed channels, formed by placing a topographically modulated elastomeric stamp in contact with a substrate surface, is filled by capillary action on a drop of fluid  
30 containing the pre-polymer into a channel at one edge of the stamp. The pre-polymer is cured and the stamp



is removed from the substrate surface, leaving an interconnected system of microstructures.

Micromolding in capillaries is slow and can be advantageously used only with solvents that (1) have low viscosity and (2) do not swell the stamp elastomer. This approach is further limited to patterning relatively large, micron-size features over small patterned areas. The pattern is restricted by the channel structure to extend to the edges of the stamp and to form interconnected and not isolated microstructures.

Microtransfer molding is a related technique in which a fluid pre-polymer is filled in indentations of a topographically modulated elastomeric stamp, is transferred to the substrate by bringing the stamp and substrate into conformal contact, and is cured to form a solid, allowing the stamp to be removed. As above, microtransfer molding is limited to large feature sizes and to materials that do not swell the stamp. An additional problem with this technique is the formation of an undesirable thin layer of material in regions where the stamp and the substrate surface make contact.

Thus, a need exists in the art for a simple, low-cost, low-temperature route to patterning thin films that are deposited by the well-developed and broadly applied solution deposition techniques, such as spin- and dip-coating, which route does not require

potentially damaging post-deposition processing of these thin film materials.

#### SUMMARY OF THE INVENTION

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It is an object of the present invention to provide a low-cost method of forming a patterned thin film on a surface of a substrate having thereon a patterned underlayer of a self-assembled monolayer.

10

It is another object of the present invention to provide solution-based deposition processes for depositing a thin film material on a self-assembled monolayer to produce a patterned thin film on the surface of the substrate.

15

It is a further object of the present invention to provide a method of forming a patterned thin film on a surface of a substrate without potentially damaging post-deposition processing.

20

Accordingly, the present invention provides low-cost method of forming a patterned thin film comprising: depositing a thin film material on a surface of a substrate having thereon a patterned underlayer of a self-assembled monolayer.

25

The present invention further provides processes for preparing the self-assembled monolayer as well as solution-based deposition processes, such as, spin-coating and immersion-coating, for depositing a thin

30

film material on a substrate having a patterned self-assembled monolayer to produce a patterned thin film on the surface of the substrate.

5 **BRIEF DESCRIPTION OF THE DRAWINGS**

Fig. 1A illustrates an elastomeric stamp with indentations coated with a molecular species before contacting a substrate.

10

Fig 1B illustrates an elastomeric stamp placed adjacent to the substrate such that the stamping surface contacts the substrate surface.

15

Fig. 1C shows the molecular species transferred to the solid substrate surface as a self-assembled monolayer after the elastomeric stamp is removed.

Fig. 2A illustrates a dish filled with a solution of surface derivatizing molecular species and a solid substrate immersed in the solution.

20

Fig. 2B illustrates irradiation of a self-assembled molecular monolayer using a mask.

25

Fig. 2C illustrates a chemically differentiated surface modified to a discontinuous pattern.

Fig. 3A depicts spin-coating on a substrate patterned with a self-assembled molecular monolayer to

30

form a discontinuous thin film in a geometry defined by the inverse of the monolayer.

Fig. 3B depicts substrate having thereon a  
5 patterned thin film after the substrate is spun.

Fig. 3C depicts dip-coating on a substrate  
patterned with a self-assembled molecular monolayer to  
form a discontinuous thin film in a geometry defined by  
10 the inverse of the monolayer.

Fig. 3D depicts substrate having thereon a  
patterned thin film after it is withdrawn from  
solution.

15 Fig. 4 is a photograph of a patterned thin film of  
organic-inorganic hybrid deposited by spin-coating on a  
substrate patterned with a self-assembled molecular  
monolayer deposited by microcontact printing.

20

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention employs a patterned  
underlayer of a self-assembled molecular monolayer to  
25 selectively deposit, by solution based techniques, thin  
film materials on a solid substrate.

The self-assembled monolayer (SAM) according to  
the present invention comprises organic molecular  
30 species having functional head groups that bind to the  
particular solid substrate surface and tail groups that

affect the wettability of the particular solution deposited thin films.

For example, solution deposited thin films can be  
5 obtained using one of the solution based techniques,  
such as spin- or immersion-coating, i.e., dip-coating.  
In the case of spin-coating, the self-assembled  
monolayer patterned substrate is flooded with a  
solution containing the thin film material or its  
10 precursors and then spun to form a continuous or  
discontinuous thin film in a pattern defined by the  
self-assembled monolayer or its inverse. In the case  
of immersion-coating, the self-assembled monolayer  
patterned substrate is immersed in solution containing  
15 the thin film material or its precursors and then  
withdrawn from the solution to form a continuous or  
discontinuous thin film in a pattern defined by the  
self-assembled monolayer or its inverse. In both of  
these cases, the solvent typically evaporates  
20 spontaneously, thereby forming the thin film. However,  
the rate of evaporation can be accelerated by providing  
one or more methods known in the art, such as, heat,  
reduced pressure, ventilation, and the like. By proper  
choice of concentration of material in solution, the  
25 casting solvent, the rate of revolution for spin-  
coating or the rate of substrate removal for dip-  
coating, the desired film thickness can be obtained.

The present invention includes a simple, low-cost,  
30 low-temperature process that allows large areas of a  
substrate to be patterned in parallel without the need

for post-deposition processing. Such post-deposition processing, as shown for other techniques, may have an adverse effect on the properties of the remaining thin film, thereby limiting their applications. The present invention provides a method of depositing patterned thin films on a variety of substrates of various shapes, including irregularly shaped substrates.

As a substrate, any suitable material can be used. Suitable substrates include, for example, a metal, a metal oxide, a semiconductor, a metal alloy, a semiconductor alloy, a polymer, an organic solid, and a combination thereof. They can be flexible or inflexible solid substrates, having a curved or planar geometry, depending on the requirements of a desired application.

The deposition, formation, and properties of self-assembled monolayers (SAM) are active areas of scientific research. Monolayers of molecules are chosen with functional head groups that selectively bind to particular solid substrate surfaces and tail groups that pack and interact with their neighbors to form relatively ordered molecular monolayers.

Suitable molecular species that can form a self-assembled monolayer include organic molecular species having:

- (1) a head functional group capable of interaction with the surface of the substrate forming a coated surface; and

(2) a tail group for chemical differentiation of the patterned and unpatterned regions of the coated surface.

5        Examples of the functional head groups that can be designed into organic molecules for interacting with or binding to a particular substrate surface with chemical specificity include one or more of the same or different functional groups, such a phosphine,  
 10    phosphonic acid, carboxylic acid, thiol, epoxide, amine, imine, hydroxamic acid, phosphine oxide, phosphite, phosphate, phosphazine, azide, hydrazine, sulfonic acid, sulfide, disulfide, aldehyde, ketone, silane, germane, arsine, nitrile, isocyanide,  
 15    isocyanate, thiocyanate, isothiocyanate, amide, alcohol, selenol, nitro, boronic acid, ether, thioether, carbamate, thiocarbamate, dithiocarbamate, dithlocarboxylate, xanthate, thioxanthate, alkylthiophosphate, dialkyldithiophosphate or a  
 20    combination thereof. Preferred organic compounds having head groups suitable for use as the molecular species that can form a self-assembled monolayer include:

(1) silanes, phosphonic acids, carboxylic acids,  
 25    and hydroxamic acids, which can bind to metal oxide surfaces such as silicon dioxide, aluminum oxide, indium zinc oxide, indium tin oxide and nickel oxide; and  
 (2) thiols, amines and phosphines, which can bind  
 30    to metal substrates such as gold, silver, palladium,

platinum and copper and to semiconductor surfaces such as silicon and gallium arsenide.

The tail groups can be any of the head groups, as well as a hydrocarbon, a partially halogenated hydrocarbon, a fully halogenated hydrocarbon or a combination thereof. The hydrocarbon or the halogenated hydrocarbon can be purely aliphatic or aromatic or can have a combination of aliphatic and aromatic groups. The halogen in the partially or fully halogenated hydrocarbon can be one or more of the following: fluorine, chlorine, bromine or iodine. Preferably, the partially or fully halogenated hydrocarbon is a partially or fully fluorinated hydrocarbon or a chlorofluorocarbon.

The self-assembled monolayer forms as molecules pack on and interact with the surface of solid substrate upon contact. For example, contacting can be achieved by immersing a substrate into a solution containing the desired, surface derivatizing molecular species and withdrawing the substrate.

A monolayer can also be transferred to the surface of a substrate by dipping the substrate through a layer of molecules packed on the liquid surface of a Langmuir-Blodgett trough.

These self-assembled monolayers can be altered by exposure to radiation. For example, irradiation photochemically modifies and/or removes molecules



assembled on the substrate surface. Thus, irradiating the self-assembled monolayer with a patterned radiation through a mask, such as, for example, a photomask, selectively modifies exposed molecules, producing a  
5 patterned, chemically differentiated, substrate surface. Although discussed in the context of selectively masking the output of the radiation source, in some embodiments a direct writing technique can be used to direct the radiation to desired regions to form  
10 the patterned, chemically differentiated, substrate surface.

Alternatively, microcontact printing, also known as "stamping," can be used to form patterned molecular  
15 monolayers on substrate surfaces. This well-developed route to form patterned surfaces is described in the previously incorporated U.S. Patent No. 5,512,131.

In one embodiment, a stamp, such as an elastomeric  
20 stamp, is coated with an organic molecular species that interacts with or binds to the particular solid substrate. The coated stamp is brought into contact with and then removed from the solid substrate surface, thereby transferring a monolayer of molecules to the  
25 solid substrate surface.

Microcontact printing is a dry printing technique that typically does not swell the stamp as in the case of micromolding.

Preferably, an elastomeric stamp is used to pattern planar, curved, irregularly shaped, and flexible solid substrates.

5        Preferably, irradiative patterning or microcontact printing is used to define patterned self-assembled monolayers prior to thin film deposition, for example, using a solution-based process, such as spin- or dip-coating.

10        The organic underlayer acts to chemically differentiate the substrate surface, for example providing hydrophobic versus hydrophilic regions, affecting the wettability and deposition of the  
15        solution deposited thin film.

20        In one embodiment of the present invention, a spin-coating process is used. In this process, the self-assembled monolayer patterned substrate is flooded with a solution containing a thin film material, or a precursor of thin film material, and spun to deposit the thin film material to form a patterned thin film on the substrate.

25        In another embodiment of the present invention, an immersion-coating process, also known as dip-coating, is used. In this process, the self-assembled monolayer patterned substrate is immersed into a solution of the thin film material, or a precursor, withdrawing the  
30        substrate from the solution to deposit the thin film

material to form a patterned thin film on the substrate.

The tail groups in the patterned organic  
5 underlayer differentiate the patterned and unpatterned  
regions of the substrate. Upon spinning or removing  
the substrate from the dipping solution, a continuous  
or discontinuous thin film, patterned in the geometry  
of the self-assembled monolayer or its inverse, as the  
10 case may be, depending on the chemical nature of the  
self-assembled monolayer and the thin film material, is  
deposited.

According to the present invention, large areas  
15 can be patterned in parallel, without the need for  
further post-deposition processing and thus,  
eliminating potentially damaging additional processing  
steps.

20 The present invention enables a wide variety of  
materials including insulators, semiconductors, metals,  
and superconductors to be deposited from solution as  
patterned thin films. Examples of materials include  
organic molecules, oligomers, polymers and  
25 photoresists; organic-inorganic hybrid materials;  
soluble inorganic materials such as salts; dispersions  
such as silica particles and nanocrystalline materials;  
and metallo-organic complexes that may be converted to  
metals or metal oxides upon high temperature annealing.

Examples of applications of these materials include: insulators in electrical applications and gate insulators in transistors, for example, prepared from organic polymers such as polyimide and polymethyl methacrylate or from metal oxides upon conversion of metallo-organics; semiconductors that form the emissive layer in light emitting diodes, the conductive channel in thin film transistors, and the photoconductive layer in photovoltaic devices, for example, prepared from conjugated organic small molecules, oligomers, polymers, and organometallic complexes, organic-inorganic hybrid materials, and nanocrystalline materials; metals that act as electrical wiring or contacts, for example, made from organic conductors such as polyaniline or annealed organometallics or metal particles yielding conductive metals or metal-oxides; and superconductors, for example, prepared by metallo-organic deposition from metallo-organic precursors to form  $\text{YBa}_2\text{Cu}_3\text{O}_7$ .

20

Optical applications include the deposition of lenses and microlenses, for example from polymers or silica particles; waveguiding structures, for example from metallo-organic deposition of  $\text{LiNbO}_3$ , and photonic band gap structures, from silica or high refractive index particles.

25

These materials have mechanical properties that make them useful in structural applications.

30

Metallo-organic deposition and conversion of metal oxides can be used to form ferroelectric materials, for example, for memory applications; piezoelectric materials; dielectric materials, for example, for capacitor structures; and magnetic materials, for example for magnetoresistive applications.

Metallo-organic deposition (MOD) is a process commonly used to prepare a wide variety of inorganic films by wet chemical techniques using relatively low temperatures. MOD avoids the use of vacuum or gel processes. Metallo-organic compounds containing the desired metallic elements are dissolved in appropriate quantities in a solvent to form a solution, which is then used to produce a thin film having a pre-selected stoichiometry. The solution is applied to the substrate by a solution process, such as spin-coating or immersion-coating. The "wet" film is heated to remove solvent and decompose the metallo-organic compound, thereby producing an inorganic film. These films can be further annealed in appropriate environments to produce and/or control specific properties, such as, for example, oxygen stoichiometry, grain size, and grain orientation.

25

The present invention can also be used in a hybrid lithographic technique to reduce processing time and cost by using the method of the present invention to define larger scale features in photoresists in combination with more expensive, for example, e-beam lithography, used to pattern small-scale features.

30

The method according to the present invention includes the step of depositing a thin film material on the self-assembled monolayer to produce a patterned  
5 thin film on the surface of the substrate.

Referring to Fig. 1A, elastomeric stamp 20 is prepared, for example from polydimethylsiloxane, with at least one indentation. The topographically  
10 modulated surface of the elastomeric stamp 20 is coated over the entire surface of the stamp 22 with organic molecular species 24.

Molecules 24 are chosen with functional head  
15 groups that bind to the particular solid substrate surface 26. Such molecules include compounds, such as, silanes, phosphonic acids, carboxylic acids, and hydroxamic acids, which can bind to a metal oxide surface, and thiols, amines, and phosphines, which can  
20 bind to metal and semiconductor surfaces.

Referring to Fig 1B, the elastomeric stamp 20 is placed in a predetermined orientation, adjacent to the substrate 26 such that only the stamping surface 28  
25 contacts the substrate surface 26. The elastomeric stamp 20 is held in contact with the substrate surface 26 allowing the organic molecular species to transfer to the substrate surface 26.

Fig. 1C shows monolayer 24 transferred to the solid substrate surface 26 after the elastomeric stamp 20 is removed.

5        A self-assembled molecular monolayer 24 is transferred to the solid substrate surface 26 only in the regions 28 where the elastomeric stamp 20 is brought into contact with the solid substrate surface 26. Regions of the substrate surface 26 not contacted  
10 by the elastomeric stamp 20 remain free of molecular species 24. Thus, the topography of the elastomeric stamp 20 defines the pattern of the self-assembled molecular monolayer 24 on the solid substrate surface 26.

15        Choice of the tail group of the stamped molecular species 24 modifies the chemical nature of the substrate surface 26. Thus, subsequent solution deposition of the thin film will be determined by the  
20 chemical nature of the tail group and surface characteristics of the substrate.

Referring to Fig. 2A, dish 30 filled with a solution of the desired surface derivatizing molecular  
25 species 32 can be seen. The solid substrate 34 is immersed in the solution of molecules 32 for a time period long enough to allow the organic molecular species of the molecules in solution 32 to come into contact with, bind to and pack on the substrate surface  
30 34, forming a self-assembled molecular monolayer 40.

Referring to Fig. 2B, radiation 36 is spatially modulated in intensity using a mask 38. The self-assembled molecular monolayer 40 is exposed to the radiation 36 only in regions 42 defined by the transparent regions in the mask 38. The self-assembled molecular monolayer 40 absorbs the incident radiation 36, such as light. Irradiation with light photochemically modifies and/or removes the exposed self-assembled molecular layer 40 in regions 42.

Referring to Fig. 2C, it is seen that local photochemistry, defined by the pattern of the mask 38, has modified the self-assembled molecular monolayer in regions 42 shown in Fig. 2B to produce the chemically distinct surface 44. The chemically differentiated surface 44 is in contrast to the chemical nature of the original molecular monolayer 40, which now has a discontinuous pattern.

The self-assembled molecular monolayers, deposited and defined by the examples of microcontact printing and self-assembly/irradiative patterning, provide chemical differentiation between patterned and unpatterned regions of the substrate surface that affect the wettability and therefore the subsequent deposition thereon, by solution based techniques, of patterned thin film materials.

Fig. 3A illustrates the solution deposition technique known as spin-coating. Fig. 3B depicts



substrate having thereon a patterned thin film after the substrate is spun.

Following the deposition and patterning of the self-assembled molecular monolayer, a solution containing the desired thin film material 60, or a precursor, is flooded across the entire substrate surface 64, pre-patterned with a self-assembled molecular monolayer 62. The tail group of the self-assembled molecular monolayer 62 is chosen to provide chemical differentiation, for example hydrophobicity versus hydrophilicity, between patterned 62 and unpatterned 66 regions of the solid substrate surface 64. The chemical differentiation across the substrate surface, between 62 and 66, affects the wettability of the solution deposited thin film so that upon spinning, the material deposits only in unpatterned regions 66, forming a patterned thin film 68 on the substrate surface 64. The thickness of the patterned thin film material 68 is controlled by choosing the concentration of the thin film material or its precursors in the solution 60 and the rate of revolution of the spinning substrate 64.

The process shown in Fig. 3A can be operated in an inverse mode to control the deposition of the thin film. For example, the self-assembled molecular monolayer may be chosen to increase the wettability of the solution deposited thin film in contrast to the chemical nature of the native substrate surface or a substrate surface patterned with a second, spatially

offset, molecular layer that decreases the wettability of the solution deposited thin film. In this case, the spin-coated thin film deposits on top of the self-assembled molecular layer and not on unpatterned regions of the substrate surface or the regions patterned with the second self-assembled molecular layer.

Fig. 3C illustrates the solution deposition technique known as immersion coating, or dip-coating. Following the deposition and patterning of the self-assembled molecular monolayer, dish 70 is filled with a solution containing the desired thin film material or its precursors 72 and the substrate 74 is immersed in solution 72.

The tail group of the self assembled molecular layer 76 is chosen to provide chemical differentiation between patterned 76 and unpatterned 78 regions of the solid substrate surface 74. As in spin-coating case, the tail group of the self assembled molecular layer 76 affects the wettability of the dip-coated thin film. The substrate 74 is withdrawn from solution 72 leaving behind material only in unpatterned regions 78, forming a patterned thin film 80 on the substrate surface 74, as can be seen in Fig. 3D.

In immersion-coating, the thickness of the patterned thin film material 80 is controlled by choosing the concentration of the thin film material or its precursors in solution 72 and the rate of substrate

74 removal from solution 72. In this case, the  
 patterned thin film is deposited in regions free from  
 the self-assembled molecular layer 78. As in the case  
 of spin-coating described above, a self-assembled  
 5 molecular layer can be chosen to have a tail group that  
 increases the wettability of the dip-coated thin film  
 in contrast to the native substrate surface or a  
 second, spatially offset, molecular monolayer.

10 Fig. 4 shows a photograph of a patterned thin film  
 of organic-inorganic hybrid material represented by the  
 formula  $(\text{C}_6\text{H}_5\text{C}_2\text{H}_4\text{NH}_3)_2\text{SnI}_4$  deposited by spin-coating on a  
 patterned self-assembled molecular monolayer. The  
 organic molecular species is deposited by microcontact  
 15 printing to form a self-assembled molecular monolayer  
 on the surface of a solid substrate. Spin-coating on  
 the substrate patterned with a self-assembled molecular  
 monolayer forms a discontinuous thin film of the  
 organic-inorganic hybrid in a geometry defined by the  
 20 inverse of the monolayer.

#### EXAMPLE 1

A template having an exposed and developed  
 25 photoresist pattern was fabricated by photolithography.  
 A 10:1 (w:w or v:v) mixture of PDMS-Sylgard Silicone  
 Elastomer 184 and Sylgard Curing Agent 184 (Dow Corning  
 Corp., Midland, Michigan) was degassed under vacuum for  
 about 10 minutes, then the mixture was poured over the  
 30 template. The PDMS cured at 65 °C within 60 minutes to  
 produce an elastomeric stamp. After cooling to room

temperature, the PDMS stamp was carefully peeled from the template. A thermally grown silicon dioxide surface on an n-type silicon wafer was patterned with a self-assembled molecular monolayer of octadecyl phosphonic acid by the elastomeric stamp using microcontact printing, as illustrated in Figs. 1A-C.

The phosphonic acid terminal head group acts to bind to the hydrophillic silicon dioxide surface and the long octadecyl chain imparts a contrasting hydrophobic surface. Patterning the silicon dioxide surface with octadecylphosphonic acid provides a substrate surface with contrasting hydrophilic and hydrophobic regions.

A solution of the organic-inorganic hybrid material, phenethylammonium tin iodide having the formula  $(\text{C}_6\text{H}_5\text{C}_2\text{H}_4\text{NH}_3)_2\text{SnI}_4$  in methanol was flooded across the pre-patterned substrate surface. The substrate covered in a pool of solution was spun forming a discontinuous, polycrystalline thin film of the organic-inorganic hybrid material.

As shown in the photograph (Fig. 4), the deposited organic-inorganic hybrid material appears, in reflection, green on the substrate surface. The hybrid deposits on the hydrophilic, uncoated regions of the silicon dioxide surface and not on the hydrophobic regions where the self-assembled molecular monolayer, octadecylphosphonic acid, was stamped. The pattern of the deposited thin film was defined by the topography

of the elastomeric stamp used to deposit the patterned self-assembled molecular monolayer.

## EXAMPLE 2

5

A silicon dioxide surface on an n-type silicon wafer was patterned by microcontact printing with a self-assembled monolayer of the fluorinated silane having the formula (tridecafluoro 1,1,2,2-  
10 tetrahydrooctyl)trichlorosilane. The trichlorosilane head group acts to bind to the hydrophilic silicon dioxide surface and the fluorinated hydrocarbon tail group imparts a contrasting hydrophobic surface, akin to Teflon. The chemical differentiation across the  
15 substrate surface was similarly used to define the pattern of deposited thin films of various organic-inorganic hybrid materials.

Each of the following organic-inorganic hybrid  
20 materials were used:

- (1) phenethylammonium tin iodide having the formula  $(C_6H_5C_2H_4NH_3)_2SnI_4$  from a solution in methanol;
- (2) butylammonium methylammonium tin iodide having the formula  $(C_4H_9NH_3)_2CH_3NH_3Sn_2I_7$  from a solution  
25 in N,N-dimethylformamide;
- (3) phenethylammonium methylammonium tin iodide having the formula  $(C_6H_5C_2H_4NH_3)_2CH_3NH_3Sn_2I_7$  from a solution in N,N-dimethylformamide; and
- (4) butanediammonium tin iodide having the  
30 formula  $(H_3NC_4H_8NH_3)_2SnI_4$  from a solution in methanol.

These examples of organic-inorganic hybrid materials are molecular-scale composites of organic and inorganic layers. The flexibility in the chemistry of the hybrid materials allows the composition of the organic and inorganic layers and the dimensionality, i.e., number of inorganic layers per organic layer, to be tailored.

Organic-inorganic hybrid materials, as above, form the active semiconducting channel in thin film transistors, as described in the copending U.S. Patent Application, Serial No. 09/261,515, filed on March 3, 1999, the contents of which are incorporated herein by reference in its entirety.

The organic-inorganic hybrid materials also form the active semiconducting channel in the emissive layers in light-emitting diodes, as described in the previously incorporated M. Era et al., **Appl. Phys. Lett.**, **65**, 676(1994).

### EXAMPLE 3

As silicon dioxide surface on an n-type silicon wafer is patterned by microcontact printing with a self-assembled monolayer of (tridecafluoro 1,1,2,2-tetrahydrooctyl)trichlorosilane (a fluorinated silane).

The chemical differentiation on the substrate surface is used to define the pattern of deposited thin film of the copolymer polymethyl methacrylate/ methyl

methacrylate (PMMA/MMA) from a solution in ethyl  
lactate. PMMA/MMA is used as an insulator in  
electrical applications and as a material to prepare  
microlenses for optical applications. It is also a  
5 resist for e-beam lithography.

The method of the present invention can be used to  
define large features in resist and e-beam lithography  
can be used to pattern small features. The hybrid  
10 technique resulting from the combination of these  
methods allows patterning of both large and small  
features on the substrate thereby reducing the time and  
the overall cost of patterning relative to using e-beam  
lithography alone.

15

#### EXAMPLE 4

As silicon dioxide surface on an n-type silicon  
wafer was patterned by microcontact printing with a  
20 self-assembled monolayer of (tridecafluoro-1,1,2,2-  
tetrahydrooctyl)trichlorosilane. Chemically  
differentiated substrate surface was used to define the  
pattern of deposited thin film of positive, deep UV  
photoresist UV82, produced by the Shipley Co., which  
25 employs ethyl lactate as the casting solvent.

#### EXAMPLE 5

As silicon dioxide surface on an n-type silicon  
30 wafer was patterned by microcontact printing with a  
self-assembled monolayer of (tridecafluoro 1,1,2,2-

tetrahydrooctyl)trichlorosilane. The chemically differentiated substrate surface was used to define the pattern of a thin film of 50 nm silica particles deposited from a colloidal suspension (Highlink OG 113-53), produced by Clariant Corp, which incorporates isopropanol and hexamethylene diacrylate as spin-casting solvents.

Silica particles and other high index particles can be used as photonic band gap materials to control the propagation and diffraction of light, and as lenses. Similarly, thin films of smaller nanocrystalline materials, which may be for example semiconducting, metallic, superconducting, ferroelectric, and magnetic, can be patterned.

These materials can be patterned for applications such as light-emitting diodes, thin film transistors, photovoltaic devices, ferroelectric memory applications and storage devices.

#### EXAMPLE 6

A silicon dioxide surface on an n-type silicon wafer is patterned by microcontact printing with a self-assembled monolayer of (tridecafluoro 1,1,2,2-tetrahydrooctyl)trichlorosilane. The chemically differentiated substrate surface is used to define the pattern of a deposited thin film of the metallo-organic complex, tin 2-ethylhexanoate from a toluene solution. Tin 2-ethyl hexanoate is one example of a metallo-



organic complex and is commonly used as a precursor for metallo-organic deposition of the transparent semiconductor  $\text{SnO}_2$ . The metallo-organic thin film is annealed at elevated temperatures, removing the organic component and forming a crystallized metal-oxide thin film. Other metallo-organic complexes and their combinations may be similarly deposited and annealed to prepare metal and metal oxide thin films depending on the atmosphere during annealing. These materials are for example metallic, dielectric, ferroelectric, piezoelectric, and superconducting and are used in applications such as metal wires or contacts, layers in capacitors, waveguiding structures, components in memory cells, and components in superconducting devices.

The present invention has been described with particular reference to the preferred embodiments. It should be understood that the foregoing descriptions and examples are only illustrative of the invention. Various alternatives and modifications thereof can be devised by those skilled in the art without departing from the spirit and scope of the present invention. Accordingly, the present invention is intended to embrace all such alternatives, modifications, and variations that fall within the scope of the appended claims.

WHAT IS CLAIMED IS:

1. A method of forming a patterned thin film comprising:

5 depositing a thin film material on a surface of a substrate having thereon a patterned underlayer of a self-assembled monolayer.

2. The method of claim 1, wherein said substrate  
10 is selected from the group consisting of: a metal, a metal oxide, a semiconductor, a metal alloy, a semiconductor alloy, a polymer, an organic solid, and a combination thereof.

15 3. The method of claim 2, wherein said substrate is an irregularly shaped substrate.

4. The method of claim 2, wherein said substrate is a solid substrate having a flexible, curved or  
20 planar geometry.

5. The method of claim 1, wherein said self-assembled monolayer is prepared by a process comprising the steps of:

25 providing a stamp having a surface;

coating said surface of said stamp with an organic molecular species to produce a coated surface, said organic molecular species having a head functional group capable of interacting with said surface of said  
30 substrate, and a tail group for chemical

differentiation of said patterned and unpatterned regions of said coated surface;

placing said coated surface in contact with said substrate for a length of time sufficient to transfer  
5 said self-assembled monolayer of said organic molecular species from said stamp to said substrate; and  
removing said stamp.

6. The method of claim 5, wherein said stamp is  
10 an elastomeric stamp.

7. The method of claim 5, wherein said stamp has  
at least one indented and at least one non-indented  
surface.

15 8. The method of claim 7, wherein said transfer is in a pattern defined by the topography of said stamp.

20 9. The method of claim 5, wherein said organic molecular species has a functional head group selected from the group consisting of: a phosphine, phosphonic acid, carboxylic acid, thiol, epoxide, amine, imine, hydroxamic acid, phosphine oxide, phosphite, phosphate,  
25 phosphazine, azide, hydrazine, sulfonic acid, sulfide, disulfide, aldehyde, ketone, silane, germane, arsine, nitrile, isocyanide, isocyanate, thiocyanate, isothiocyanate, amide, alcohol (hydroxyl), selenol (selenide), nitro, boronic acid, ether, thioether,  
30 carbamate, thiocarbamate, dithiocarbamate, dithlocarboxylate, xanthate, thioxanthate,

alkylthiophosphate, dialkyldithiophosphate, and a combination thereof.

10. The method of claim 5, wherein said organic  
5 molecular species has a functional tail group selected  
from the group consisting of: a hydrocarbon, partially  
halogenated hydrocarbon, fully halogenated hydrocarbon,  
phosphine, phosphonic acid, carboxylic acid, thiol,  
epoxide, amine, imine, hydroxamic acid, phosphine  
10 oxide, phosphite, phosphate, phosphazine, azide,  
hydrazine, sulfonic acid, sulfide, disulfide, aldehyde,  
ketone, silane, germane, arsine, nitrile, isocyanide,  
isocyanate, thiocyanate, isothiocyanate, amide, alcohol  
(hydroxyl), selenol (selenide), nitro, boronic acid,  
15 ether, thioether, carbamate, thiocarbamate,  
dithiocarbamate, dithlocarboxylate, xanthate,  
thioxanthate, alkylthiophosphate,  
dialkyldithiophosphate, and a combination thereof.

20 11. The method of claim 5, wherein said organic  
molecular species comprises one or more compounds  
selected from the group consisting of: a silane, a  
phosphonic acid, a carboxylic acid, a hydroxamic acid,  
a thiol, an amine, a phosphine, a hydrocarbon,  
25 partially halogenated hydrocarbon and a fully  
halogenated hydrocarbon.

12. The method of claim 5, wherein said said  
organic molecular species comprises (tridecafluoro-  
30 1,1,2,2-tetrahydrooctyl)trichlorosilane.

13. The method of claim 5, wherein said organic molecular species comprises octadecylphosphonic acid.

14. The method of claim 1, wherein said self-assembled monolayer is prepared by a process comprising the steps of:

contacting said substrate and a solution comprising an organic molecular species having a head functional group capable of interacting with said surface of said substrate, and a tail group for chemical differentiation, said contacting being at a temperature and for a length of time sufficient to bind said functional head groups to said surface of said substrate; and

exposing said self-assembled molecular monolayer to radiation modulated spatially in intensity with a mask having one or more regions transparent to radiation to chemically modify said self-assembled molecular monolayer in a chemically distinct pattern defined by said transparent regions of said mask.

15. The method of claim 14, wherein said radiation is light.

16. The method of claim 14, wherein said mask is a photomask.

17. The method of claim 14, wherein said contacting is carried out by immersing said substrate in said solution comprising said organic molecular species.

18. The method of claim 14, wherein said organic molecular species has a functional head group selected from the group consisting of: a phosphine, phosphonic acid, carboxylic acid, thiol, epoxide, amine, imine, hydroxamic acid, phosphine oxide, phosphite, phosphate, phosphazine, azide, hydrazine, sulfonic acid, sulfide, disulfide, aldehyde, ketone, silane, germane, arsine, nitrile, isocyanide, isocyanate, thiocyanate, isothiocyanate, amide, alcohol (hydroxyl), selenol (selenide), nitro, boronic acid, ether, thioether, carbamate, thiocarbamate, dithiocarbamate, dithlocarboxylate, xanthate, thioxanthate, alkylthiophosphate, dialkyldithiophosphate, and a combination thereof.

19. The method of claim 14, wherein said organic molecular species has a functional tail group selected from the group consisting of: a hydrocarbon, partially halogenated hydrocarbon, fully halogenated hydrocarbon, phosphine, phosphonic acid, carboxylic acid, thiol, epoxide, amine, imine, hydroxamic acid, phosphine oxide, phosphite, phosphate, phosphazine, azide, hydrazine, sulfonic acid, sulfide, disulfide, aldehyde, ketone, silane, germane, arsine, nitrile, isocyanide, isocyanate, thiocyanate, isothiocyanate, amide, alcohol (hydroxyl), selenol (selenide), nitro, boronic acid, ether, thioether, carbamate, thiocarbamate, dithiocarbamate, dithlocarboxylate, xanthate, thioxanthate, alkylthiophosphate, dialkyldithiophosphate, and a combination thereof.

20. The method of claim 14, wherein said self-assembled molecular monolayer comprises (tridecafluoro-1,1,2,2-tetrahydrooctyl)trichlorosilane.

5

21. The method of claim 14, wherein said self-assembled molecular monolayer comprises octadecylphosphonic acid.

10 22. The method of claim 1, wherein said thin film is deposited by a solution-based deposition process.

23. The method of claim 22, wherein said thin film material is selected from the group consisting of:  
15 an organic molecule, a short-chain organic oligomer, a long-chain organic polymer, a photoresist, an organic-inorganic hybrid material, a metallo-organic complex, a nanoparticle of metal, a nanoparticle of metal oxide, a nanoparticle of semiconductor, a silica particle, an  
20 inorganic salt, and a mixture thereof.

24. The method of claim 23, wherein said organic-inorganic hybrid material is selected from the group consisting of:  $(C_6H_5C_2H_4NH_3)_2SnI_4$ ,  $(C_4H_9NH_3)_2CH_3NH_3Sn_2I_7$ ,  
25  $(C_6H_5C_2H_4NH_3)_2CH_3NH_3Sn_2I_7$ ,  $(H_3NC_4H_8NH_3)_2SnI_4$  and a mixture thereof.

25. The method of claim 23, wherein said photoresist is a positive working, deep UV photoresist.

30

26. The method of claim 23, wherein said long-chain organic polymer is polymethyl methacrylate/methyl methacrylate copolymer.

5        27. The method of claim 23, wherein said metallo-organic complex is tin 2-ethylhexanoate.

28. The method of claim 22, wherein said solution-based deposition process is a spin-coating  
10 process comprising the steps of:

      flooding said substrate having thereon said patterned self-assembled molecular monolayer with a solution comprising a thin film material or a precursor thereof; and

15        spinning to deposit said thin film material thereby forming a patterned thin film on said substrate.

29. The method of claim 22, wherein said  
20 solution-based deposition process is an immersion-coating process comprising the steps of:

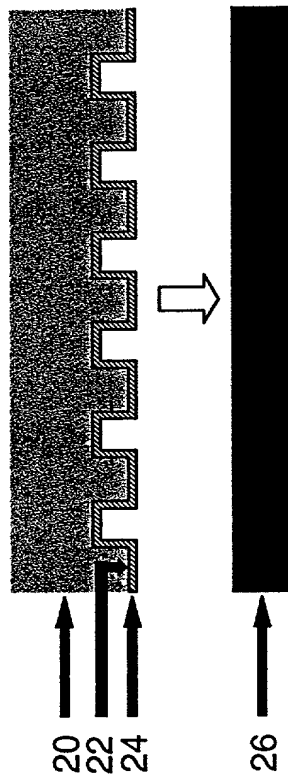
      immersing said substrate having thereon said patterned self-assembled molecular monolayer into a solution comprising said thin film material, or a  
25 precursor thereof; and

      withdrawing said substrate from said solution, thereby forming a patterned thin film on said substrate.

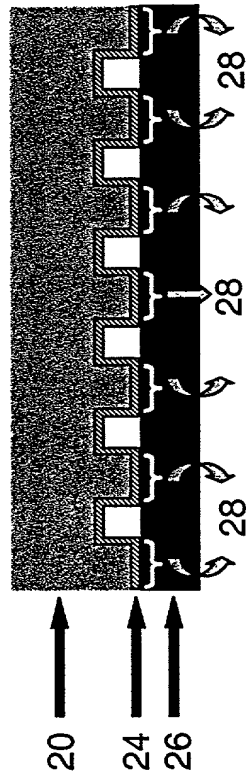


ABSTRACT OF THE DISCLOSURE

The present invention provides a method of forming a patterned thin film on a surface of a substrate having thereon a patterned underlayer of a self-assembled monolayer. The method comprises depositing a thin film material on the self-assembled monolayer to produce a patterned thin film on the surface of the substrate. The present invention further provides processes for preparing the self-assembled monolayer. The present invention still further provides solution-based deposition processes, such as spin-coating and immersion-coating, to deposit a thin film material on the self-assembled monolayer to produce a patterned thin film on the surface of the substrate.



Li<sup>g</sup> 1A

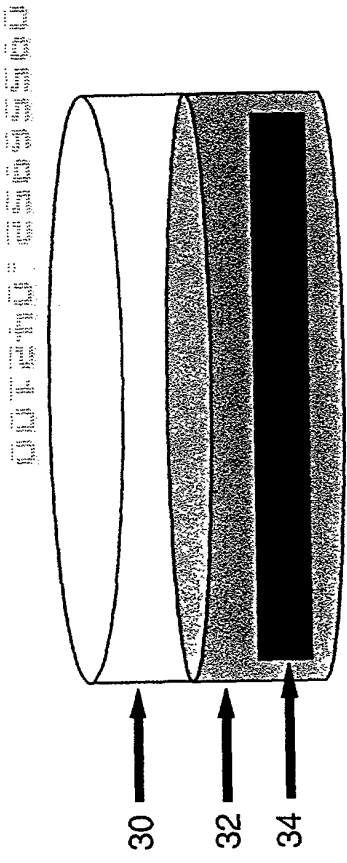


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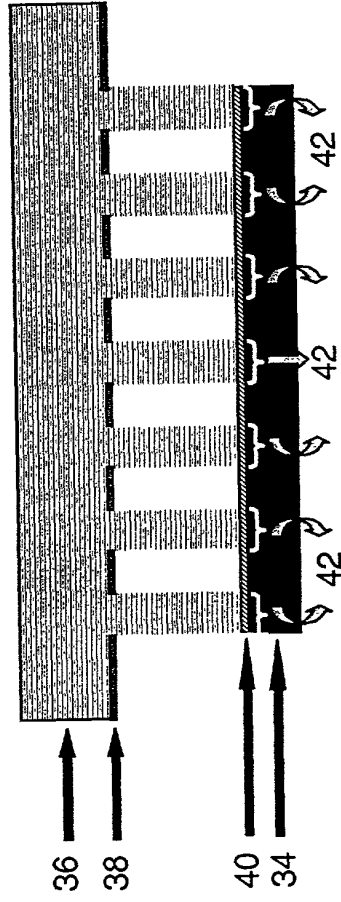


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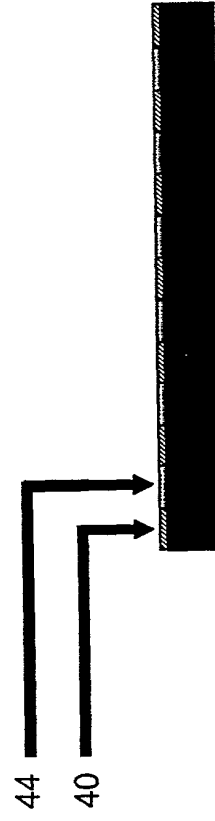
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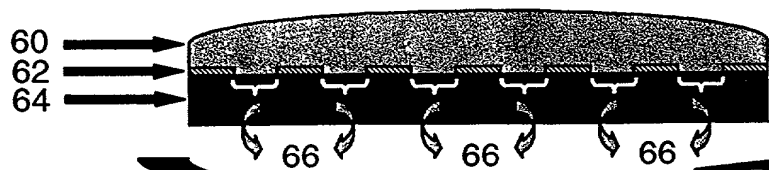


Fig. 3A

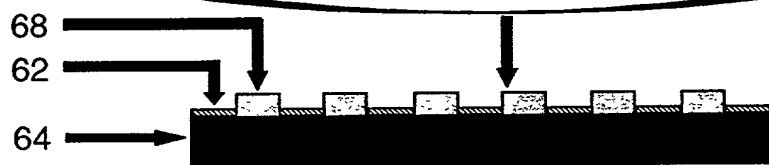


Fig 3B

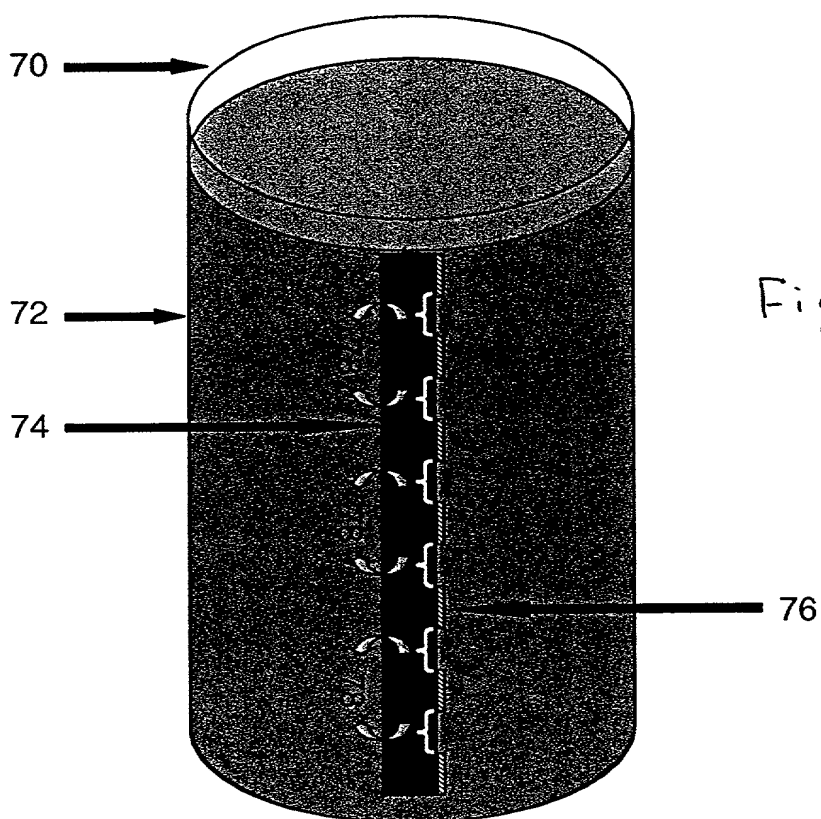


Fig. 3C

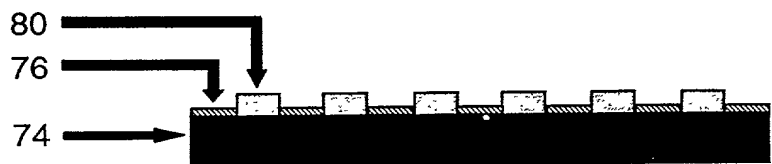


Fig. 3D

Fig. 4

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Docket No. YOR9-2000-0186-US1

As below named inventors, we hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to our respective names.

We believe we are the original, and first joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## **PATTERNING SOLUTION DEPOSITED THIN FILMS WITH SELF-ASSEMBLED MONOLAYERS**

the specification of which

(check one) XX is attached hereto.

\_\_\_\_\_ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to us to be material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate(s) listed below and have also identified below any foreign application(s) for patent or inventor's certificate(s) having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>
_____ (Number)	_____ (Country)	_____ (Day/Mon/Year Filed)	___ Yes ___ No
_____ (Number)	_____ (Country)	_____ (Day/Mon/Year Filed)	___ Yes ___ No
_____ (Number)	_____ (Country)	_____ (Day/Mon/Year Filed)	___ Yes ___ No

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which

(Application Serial No.)

(Filing Date)

(Status - patent, pend., abandon.)

(Application Serial No.)

(Filing Date)

(Status - patent, pend., abandon.)

POWER OF ATTORNEY: As named inventors, we hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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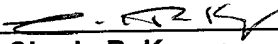
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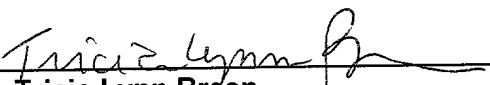
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Telefax: (203) 327-6401

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

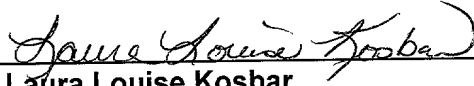
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